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02-03-01

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. LUC-300/Dombkowski 6-27

First Inventor or Application Identifier Domkowski

Title METHOD FOR TRANSMITTING SIGNALS OVER A CABLE  
PROTOCOL

Express Mail Label No. EL041357358US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 18]  
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C.113) [Total Sheets 3 ]
- Oath or Declaration [Total Pages 5 ]  
  - Newly executed (original or copy)
  - Copy from a prior application (37 CFR 1.63 (d))  
*(for a continuation/divisional with Box 17 completed)*
    - DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - paper
  - Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

- Assignment Papers (cover sheet & document(s))
- 37 C.F.R. §3.73(b)Statement  Power of (when there is an assignee) Attorney
- English Translation Document (if applicable)
- Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- Certified Copy of Priority Document(s) (if foreign priority is claimed)
- Other: Certificate of Express Mail

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ /  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label or  Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	Carmen B. Patti/Robert J. Brill Wildman, Harrold, Allen & Dixon				
Address	225 West Wacker Drive				
City	Chicago	State	IL	Zip Code	60606
Country	USA	Telephone	312/201.2000	Fax	312/201.2555

Name (Print/Type)	Susan L. Lukasik	Registration No. (Attorney/Agent)	35,261
Signature	<i>Susan L. Lukasik</i>		Date February 2, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



10/20/20

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1078)

Complete if Known

Application Number	N/A
Filing Date	February 2, 2001
First Named Inventor	Dombkowski
Examiner Name	N/A
Group / Art Unit	N/A

Attorney Docket No. LUC-300/Dombkowski 6-27

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	12-2325
Deposit Account Name	Lucent Technologies, Inc.
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	

2.  Payment Enclosed:  
 Check     Credit card     Money Order     Other

## FEE CALCULATION (continued)

FEE CALCULATION				3. ADDITIONAL FEES			
				Large Entity	Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Description	Fee Paid
105	130	205	65			Surcharge - late filing fee or oath	
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	390	216	195			Extension for reply within second month	
117	890	217	445			Extension for reply within third month	
118	1,390	218	695			Extension for reply within fourth month	
128	1,890	228	945			Extension for reply within fifth month	
119	310	219	155			Notice of Appeal	
120	310	220	155			Filing a brief in support of an appeal	
121	270	221	135			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive – unavoidable	
141	1,240	241	620			Petition to revive – unintentional	
142	1,240	242	620			Utility issue fee (or reissue)	
143	440	243	220			Design issue fee	
144	600	244	300			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	
123	130	123	130			Petitions related to provisional applications	
126	180	126	180			Submission of Information Disclosure Stmt	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355			Request for Continued Examination (RCE)	
169	900	169	900			Request for expedited examination of a design application	
Other fee (specify)							
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0)			

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Susan L. Lukasik	Registration No. Attorney/Agent)	35,261	Telephone	312/201.2000
Signature	<i>Susan L. Lukasik</i>			Date	February 2, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Dombkowski et al. EXAMINER: N/A  
SERIAL NO.: N/A GROUP: N/A  
FILED: 2/2/2001 CASE NO.: LUC-300/Dombkowski 6-27  
ENTITLED: METHOD FOR TRANSMITTING SIGNALS OVER A CABLE  
PROTOCOL

**CERTIFICATE OF MAILING**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Dear Sirs:

I hereby certify that the following documents pertaining to the above referenced application have been deposited with the United States Postal Service as Express Mail No. EL041357358US addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on February 2, 2001.

New Application Transmittal  
Fee Transmittal Sheet  
18-page Specification, Claims, Abstract  
3-sheets Informal Drawings  
5-page Executed Declaration and Power of Attorney  
Recordation Form Cover Sheet w/copy for fees  
3-page Executed Assignment  
Certificate of Express Mail  
Return Receipt Postcard

Deposited by: Leannora Petrowski

Signature: 